



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, Washington, D.C. 20231, on

September 26, 2002

Date of Deposit

K. Shannon Mrksich, Ph.D.

Name of Applicant, Assignee or
Registered Representative

S. Mrksich

Signature

September 26, 2002

Date of Signature

#11
S.G.J.
11/6/02

Our Case No.: 7814/42

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Milan Mrksich et al.

Serial No.: 09/689,263

Filing Date: October 11, 2000

For: SURFACE MODIFYING
COMPOSITION

Examiner: Naff, David. M.

Group Art Unit No.: 1651

FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this First Supplemental Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

RECEIVED

OCT 02 2002

TECH CENTER 1600/2900

The references now cited are the following:

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES	NO
	A1	06192058	12/07/94	JAPAN		X	
	A2	P 36 30184.1	12/03/87	GERMANY			X
EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)						
	A3	Self-Assembled Monolayers of Alkanethiolates Presenting Mannitol Groups Are Inert to Protein Adsorption and Cell Attachment, Yan-Yeung Luk et al., Dept. of Chemistry, U. of Chicago, 6/29/00					

In accordance with 37 C.F.R. § 1.97(g),(h), this First Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

In accordance with 37 C.F.R. § 1.97(e)(1), Applicant(s) hereby certify that each item of the information disclosed in this First Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to filing of the present statement, as evidenced by the date of the enclosed search report.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

S. Mrksich

K. Shannon Mrksich, Ph.D.
Registration No. 36,675
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE
P.O. Box 10395
Chicago, IL 60610
(312) 321-4200

RECEIVED

OCT 02 2002

TECH CENTER 1600/2900



TRANSMITTAL LETTER			Case No. 7814/42
Serial No. 09263,263	Filing Date October 11, 2000	Examiner Naff, David M.	Group Art Unit 1651
Inventor(s) MILAN MRKSICH ET AL.			
Title of Invention SURFACE MODIFYING COMPOSITION			

TO THE COMMISSIONER FOR PATENTS

- ☒ Transmitted herewith is First Supplemental Information Disclosure Statement, PTO Form 1449, Cited References, Search Report Dated 6/26/02, Intl. App. No. PCT/US01/32126.
- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a _____ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity		or	Other Than Small Entity	
Rate	Add'l Fee		Rate	Add'l Fee
x \$9=			x \$18=	
x 42=			x \$84=	
+\$140=			+\$280=	
Total add'l fee	\$		Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$_____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

K. Shannon Mrksich, Ph.D.
Registration No. 36,675
Attorney for Applicant

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on September 26, 2002.

Date: September 26, 2002 Signature:

RECEIVED

OCT 02 2002

TECH CENTER 1600/2900